

# A SMALL OPEN-CLOSED HOMOTOPY ALGEBRA (OCHA)

TORNIKE KADEISHVILI AND TOM LADA

ABSTRACT. We consider a particular finite dimensional example of an  $L_\infty$  algebra in which a 2-dimensional Lie algebra acts on a 1-dimensional vector space in a non-trivial non-Lie manner. In order to understand the nature of this action, we show that this algebra is in fact an example of an open-closed homotopy algebra.

## 1. INTRODUCTION

In [1], a non-trivial  $L_\infty$  algebra structure on a finite dimensional 2-graded vector space which was discovered by M. Daily was discussed in detail. The structure of that algebra entailed a 2-dimensional Lie algebra  $V_0$  acting on a 1-dimensional vector space  $V_1$ . The nature of this action is the topic of this article. A possible structure for this action is that of  $V_1$  being an  $L_\infty$  module over the Lie algebra  $V_0$ . Such an action requires a collection of operations  $\eta_k : V_0^{\otimes(k-1)} \otimes V_1 \rightarrow V_1$  subject to compatibility relations; see [4] for details.

Two other candidates for understanding the action of  $V_0$  on  $V_1$  are that of an  $A_\infty$  algebra over an  $L_\infty$  algebra and that of an open-closed homotopy algebra as developed by Kajiwara and Stasheff [3]. These structures are given by operations  $\eta_{p,q} : V_0^{\otimes p} \otimes V_1^{\otimes q} \rightarrow V_1$  subject to compatibility relations, where  $p \geq 0, q \geq 1$  for an  $A_\infty$  algebra over an  $L_\infty$  algebra, and  $p \geq 0, q \geq 0$  for an open-closed homotopy algebra. These actions may also be described by a coderivation  $D$  on the coalgebra  $S^c(\downarrow V_0) \otimes T^c(\downarrow V_1)$  with  $D^2 = 0$  [3],[2]. Here,  $S^c(V_0)$  is the cocommutative coalgebra on  $V_0$ ,  $T^c(V_1)$  is the tensor coalgebra on  $V_1$ , and  $\downarrow$  is the desuspension isomorphism of graded vector spaces.

Our main result will show that the  $L_\infty$  algebra mentioned above is in fact an example of an open-closed homotopy algebra. The other two types of action are not possible because of the presence of a non zero operation  $\eta_{1,0}$ .

We will review the definition of  $L_\infty$  algebras in Section 2 and provide explicit details of the example. In Section 3, we will recall the definition of an open-closed homotopy algebra and verify that the example satisfies the relations.

## 2. AN $L_\infty$ ALGEBRA

We begin by recalling the definition of an  $L_\infty$  algebra [4].

**Definition 1.** *An  $L_\infty$  algebra structure on a graded vector space  $V$  is a collection of skew symmetric linear maps  $l_n : V^{\otimes n} \rightarrow V$  of degree  $2 - n$  that satisfy the relations*

$$\sum_{i+j=n+1} \sum_{\sigma} (-1)^\sigma (-1)^{e(\sigma)} (-1)^{i(j-1)} l_j(l_i(v_{\sigma(1)}, \dots, v_{\sigma(i)}), v_{\sigma(i+1)}, \dots, v_{\sigma(n)}) = 0$$

where  $(-1)^\sigma$  is the sign of the permutation,  $e(\sigma)$  is the product of the degrees of the permuted elements, and  $\sigma$  is taken over all  $(i, n - i)$  unshuffles.

This is the cochain complex point of view; for chain complexes, require the maps  $l_n$  to have degree  $n - 2$ .

Now consider the graded vector space  $V = V_0 \oplus V_1$  where  $V_0$  has basis  $\langle v_1, v_2 \rangle$  and  $V_1$  has basis  $\langle w \rangle$ . Then  $V$  may be given an  $L_\infty$  algebra structure by defining [1]

$$l_1(v_1) = l_1(v_2) = w$$

$$l_2(v_1 \otimes v_2) = v_1, l_2(v_1 \otimes w) = w$$

$$l_n(v_2 \otimes w^{\otimes n-1}) = C_n w = (-1)^{\frac{(n-2)(n-3)}{2}} (n-3)! w, n \geq 3.$$

In other words,  $(V, l_1)$  is a cochain complex and the maps  $l_n$  have degree  $2 - n$ , are extended to all of  $V^{\otimes n}$  by graded skew symmetry, and are defined to be equal to 0 on any elements not mentioned above. Also note that  $(V_0, l_2)$  is a two dimensional Lie algebra.

There is an equivalent description of  $L_\infty$  algebras given by a degree 1 coderivation  $D$  on the on the coalgebra  $S^c(\downarrow V)$  with  $D^2 = 0$  [4], [5]. We will translate the  $L_\infty$  algebra data above into this context in order to be compatible with the OCHA data in the next section.

We may apply the desuspension operator,  $\downarrow$ , to the data above to obtain a collection of degree one graded symmetric linear maps  $\hat{l}_n : W^{\otimes n} \rightarrow W$  given by  $\hat{l}_n = (-1)^{\frac{n(n-1)}{2}} \downarrow \circ l_n \circ \uparrow^{\otimes n}$  [4]. Here,  $W = W_{-1} \oplus W_0$  with  $W_{-1}$  isomorphic to  $V_0$  and  $W_0$  isomorphic to  $V_1$ . Let  $x_i$  correspond to  $v_i$  and  $y$  correspond to  $w$  under these isomorphisms. We may then describe the  $\hat{l}_n$ 's explicitly by

$$\hat{l}_1(x_1) = \hat{l}_1(x_2) = y$$

$$\hat{l}_2(x_1 \otimes x_2) = x_1, \hat{l}_2(x_1 \otimes y) = y$$

$$\hat{l}_n(x_2 \otimes y^{n-1}) = C'_n y = (-1)^n (n-3)! y$$

The signs in the above equation result from the definition of  $\hat{l}_n$  in terms of  $l_n$ , the definition of  $l_n$  in this particular example, and from applying the map  $\uparrow^{\otimes n}$  to the element  $x_2 \otimes y^{n-1}$  using the fact that the degree of  $x_2$  is  $-1$  and the degree of  $y$  is 0.

For example,

$$\hat{l}_n(x_2, y, \dots, y) = (-1)^{\frac{n(n-1)}{2}} \downarrow \circ l_n \circ \uparrow^{\otimes n} (x_2, y, \dots, y)$$

which, after applying the map  $\uparrow^{\otimes n}$  and noting that the degree of  $y$  is 0 and the degree of  $x_2$  is  $-1$ , and that  $\uparrow x_2 = v_2$  and  $\uparrow y = w$ ,

$$= (-1)^{\frac{n(n-1)}{2}} (-1)^{n-1} \downarrow \circ l_n(v_2, w, \dots, w)$$

$$= (-1)^{\frac{n(n-1)}{2}} (-1)^{n-1} (-1)^{\frac{(n-2)(n-3)}{2}} (n-3)! \downarrow w = (-1)^n (n-3)! y.$$

The last equality results from the fact that  $\frac{n(n-1)}{2} + (n-1) + \frac{(n-2)(n-3)}{2}$  has the same parity as  $n$ .

These maps  $\hat{l}_n$  have degree  $+1$  and may be extended to coderivations on  $S^c(W)$  and added together to give the differential  $D$  on the cocommutative coalgebra  $S^c(W)$ .

## 3. AN OPEN-CLOSED HOMOTOPY ALGEBRA

We next recall the definition of an open-closed homotopy algebra (OCHA) as introduced by Kajiuura and Stasheff [3].

**Definition 2.** *An open-closed homotopy algebra  $(\mathcal{H} = \mathcal{H}_c \oplus \mathcal{H}_o, l, \eta)$  consists of an  $L_\infty$  algebra  $(\mathcal{H}_c, l)$  and a family of degree +1 maps  $\{\eta_{p,q} : \mathcal{H}_c^{\otimes p} \otimes \mathcal{H}_o^{\otimes q} \rightarrow \mathcal{H}_o\}$  for  $p, q \geq 0$  such that*

$$0 = \sum_{\sigma} (-1)^{\epsilon(\sigma)} \eta_{1+r,m} (l_p(c_{\sigma(1)}, \dots, c_{\sigma(p)}), c_{\sigma(p+1)}, \dots, c_{\sigma(n)}; o_1, \dots, o_m) \\ + \sum_{\sigma} (-1)^{\mu_{p,i}(\sigma)} \eta_{p,i+1+j} (c_{\sigma(1)}, \dots, c_{\sigma(p)}; o_1, \dots, o_i, \eta_{r,s} (c_{\sigma(p+1)}, \dots, c_{\sigma(n)}; o_{i+1}, \dots, o_{i+s}), o_{i+s+1}, \dots, o_m)$$

where the second sum is taken over  $i + s + j = m$ ,  $\sigma$  ranges over all  $(p, n - r)$  unshuffles, and  $n, m \geq 0$ .

The sign exponent is given by

$$\mu_{p,i}(\sigma) = \epsilon(\sigma) + (c_{\sigma(1)} + \dots + c_{\sigma(p)}) + (o_1 + \dots + o_i) + (o_1 + \dots + o_i)(c_{\sigma(p+1)} + \dots + c_{\sigma(n)})$$

where we indicate the degree of an element by its name, and  $\epsilon(\sigma)$  is the product of the degrees of the permuted elements.

We will show that the following example of a “small”  $L_\infty$  algebra has the structure of an OCHA.

**Theorem 3.** *The graded vector space  $W = W_{-1} \oplus W_0$  together with the maps  $\{\hat{l}_n\}$  has the structure of an open-closed homotopy algebra.*

*Proof.* We let  $\mathcal{H}_c = W_{-1}$  and  $\mathcal{H}_o = W_0$  and define  $\eta_{p,q} = \frac{1}{q!} \hat{l}_{p+q}$ . Recall that  $W_{-1}$  together with  $\hat{l}_2$  restricted to  $W_{-1} \otimes W_{-1}$  is a Lie algebra, so the requirement that  $\mathcal{H}_c$  be an  $L_\infty$  algebra is satisfied. We next show that the maps  $\eta_{p,q}$  satisfy the relations in the definition by evaluating those terms on all possible inputs from  $W$ . We first observe that by the definition of  $\hat{l}_n$ , all  $\eta_{0,q} = 0$ . From this, it is immediate that any element of  $\mathcal{H}^{\otimes n}$  with only a single element from  $\mathcal{H}_c$  will trivially satisfy the requisite relations.

The next case to consider is an element of the form  $x_1 \otimes x_1 \otimes y^m$ . Because  $\hat{l}_2(x_1 \otimes x_1) = 0$ , we need only consider the second sum in the relation. The only possible non-zero term occurs in the expression  $\eta_{1,1}(\eta_{1,0}(x_1), x_1) = \hat{l}_2(\hat{l}_1(x_1), x_1) = \hat{l}_2(y, x_1) = y$ . However, this term occurs again with opposite sign because there are two (1,1) unshuffles of  $x_1 \otimes x_1$  and the Koszul sign is  $-1$  for the second one. Consequently, the relation is satisfied in this case.

A similar situation occurs with the case of elements of the form  $x_2 \otimes x_2 \otimes y^m$ . However, we have here non-trivial terms of the form (each  $y_i = y$ )

$$\eta_{1,m-s+1}(x_2; y_1, \dots, y_i, \eta_{1,s}(x_2; y_{i+1}, \dots, y_{i+s}), y_{i+s+1}, \dots, y_m) \\ = \eta_{1,m-s+1}(x_2; y_1, \dots, y_i, \frac{1}{s!} C'_{s+1} y, y_{i+s+1}, \dots, y_m) \\ = \frac{1}{s!} \frac{1}{(m-s+1)!} C'_{s+1} C'_{m-s} y.$$

As in the previous case, the second unshuffle of  $x_2 \otimes x_2$  yields the same term with opposite sign.

Next consider the elements of the form  $x_1 \otimes x_2 \otimes y^{\otimes m}$ .

When  $m = 1$  the OCHA relation has the form

$$\begin{aligned}
& \eta_{1,1}(\hat{l}_2(x_1, x_2); y) - \eta_{1,1}(x_1; \eta_{1,1}(x_2; y)) + \eta_{1,1}(x_2; \eta_{1,1}(x_1; y)) \\
& - \eta_{1,2}(x_1; \eta_{1,0}(x_2), y) - \eta_{1,2}(x_1; y, \eta_{1,0}(x_2)) + \eta_{1,2}(x_2; \eta_{1,0}(x_1), y) + \eta_{1,2}(x_2; y, \eta_{1,0}(x_1)) \\
& = \hat{l}_2(\hat{l}_2(x_1, x_2), y) - \hat{l}_2(x_1, \hat{l}_2(x_2, y)) + \hat{l}_2(x_2, \hat{l}_2(x_1, y)) \\
& - \frac{1}{2}\hat{l}_3(x_1, \hat{l}_1(x_2), y) - \frac{1}{2}\hat{l}_3(x_1, y, \hat{l}_1(x_2)) + \frac{1}{2}\hat{l}_3(x_2, \hat{l}_1(x_1), y) + \frac{1}{2}\hat{l}_3(x_2, y, \hat{l}_1(x_1)) \\
& = \hat{l}_2(x_1, y) - \hat{l}_2(x_1, 0) + \hat{l}_2(x_2, y) - \frac{1}{2}\hat{l}_3(x_1, y, y) - \frac{1}{2}\hat{l}_3(x_1, y, y) + \frac{1}{2}\hat{l}_3(x_2, y, y) + \frac{1}{2}\hat{l}_3(x_2, y, y) \\
& = y - 0 + 0 - 0 - 0 - \frac{1}{2}y - \frac{1}{2}y = 0
\end{aligned}$$

For  $m > 1$ , the first sum in the OCHA relation has the form (with each  $y_i = y$ )

$$\eta_{1,m}(\hat{l}_2(x_1, x_2); y_1, \dots, y_m) = \eta_{1,m}(x_1; y_1, \dots, y_m) = \frac{1}{m!}\hat{l}_{m+1}(x_1, y_1, \dots, y_m) = 0$$

so we consider only the second sum and evaluate the terms separately.

$$\begin{aligned}
\eta_{2,m}(x_1, x_2; \eta_{0,m}(y_1, \dots, y_m)) &= \frac{1}{m!}\hat{l}_{m+2}(x_1, x_2, \frac{1}{m!}\hat{l}_m(y_1, \dots, y_m)) \\
&= \frac{1}{m!}\hat{l}_{m+2}(x_1, x_2, 0) = 0
\end{aligned}$$

and

$$\begin{aligned}
-\eta_{1,m+1}(x_1; y_1, \dots, y_i, \eta_{1,0}(x_2), y_{i+1}, \dots, y_m) &= -\frac{1}{(m+1)!}\hat{l}_{m+2}(x_1; y_1, \dots, y_i, \hat{l}_1(x_2), y_{i+1}, \dots, y_m) \\
&= -\frac{1}{(m+1)!}\hat{l}_{m+2}(x_1; y_1, \dots, y_i, y, y_{i+1}, \dots, y_m) = 0, \forall i.
\end{aligned}$$

Next we have

$$-\eta_{1,m}(x_1; y_1, \dots, y_i, \eta_{1,1}(x_2; y_{i+1}), \dots, y_m) = \frac{1}{m!}\hat{l}_{m+1}(x_1, y_1, \dots, \hat{l}_2(x_2, y_{i+1}), \dots, y_m) = 0, \forall i.$$

In general, we have

$$\begin{aligned}
& -\eta_{1,m+1}(x_1; y_1, \dots, y_i, \eta_{1,s}(x_2; y_{i+1}, \dots, y_{i+s}), y_{i+s+1}, \dots, y_m) \\
& = -\frac{1}{(m+1)!}\hat{l}_{m+2}(x_1, y_1, \dots, \frac{1}{s!}\hat{l}_{1+s}(x_2, y_{i+1}, \dots, y_{i+s}), y_{i+s+1}, \dots, y_m) = 0
\end{aligned}$$

unless  $i = 0$  and  $s = m$  in which case we have

$$(1) \quad -\hat{l}_2(x_1, \frac{1}{m!}\hat{l}_{1+m}(x_2, y_1, \dots, y_m)) = -\hat{l}_2(x_1, \frac{1}{m!}C'_{m+1}y) = -\frac{1}{m!}C'_{m+1}y.$$

We next compute the analogous terms for the other (1,1) unshuffle with the order of  $x_1$  and  $x_2$  interchanged:

$$\begin{aligned} \sum_{i=0}^m \eta_{1,m+1}(x_2; y_1, \dots, y_i, \eta_{1,0}(x_1), \dots, y_m) &= \frac{1}{(m+1)!} \sum_{i=0}^m \hat{l}_{m+2}(x_2, y_1, \dots, \hat{l}_1(x_1), \dots, y_m) \\ (2) \quad &= \frac{1}{(m+1)!} \sum_{i=0}^m \hat{l}_{m+2}(x_2, y, \dots, y_i, \dots, y) = \frac{m+1}{(m+1)!} C'_{m+2} y = \frac{1}{m!} C'_{m+2} y. \end{aligned}$$

The final possibly non-zero terms occur in the sum

$$\begin{aligned} \sum_{i=0}^{m-1} \eta_{1,m}(x_2; y_1, \dots, y_i, \eta_{1,1}(x_1; y_{i+1}), y_{i+2}, \dots, y_m) &= \frac{1}{m!} \sum_{i=0}^{m-1} \hat{l}_{m+1}(x_2, y_1, \dots, y_i, \hat{l}_2(x_1, y_{i+1}), \dots, y_m) \\ (3) \quad &= \frac{1}{m!} \sum_{i=0}^{m-1} \hat{l}_{m+1}(x_2, y_1, \dots, y_i, y, \dots, y_m) = \frac{m}{m!} C'_{m+1} y. \end{aligned}$$

We collect the coefficients  $\frac{1}{m!}(-C'_{m+1} + C'_{m+2} + mC'_{m+1})$  from equations (1), (2), and (3) and evaluate:

$$\begin{aligned} \frac{1}{m!}(-C'_{m+1} + C'_{m+2} + mC'_{m+1}) &= \frac{1}{m!}(C'_{m+2} + (m-1)C'_{m+1}) \\ &= \frac{1}{m!}((-1)^{m+2}(m-1)! + (-1)^{m+1}(m-1)(m-2)!) \\ &= \frac{1}{m!}((-1)^{m+2}(m-1)! + (-1)^{m+1}(m-1)!) = 0. \end{aligned}$$

A final non-trivial case is that in which we consider the element of the form  $x_1 \otimes x_2 \otimes x_2$ . The only non-zero summand is

$$\eta_{2,0}(\hat{l}_2(x_1, x_2), x_2)$$

which occurs twice with opposite signs that result from the unshuffle permutations.  $\square$

We say that this example is “small” in two senses. First of all, the underlying vector space is 3-dimensional. Secondly, the only non-trivial operations are the Lie bracket  $\hat{l}_2 : W_{-1} \otimes W_{-1} \rightarrow W_{-1}$  and the maps  $\eta_{1,q} : W_{-1} \otimes W_0^{\otimes q} \rightarrow W_0$  for  $q \geq 0$ . Even though we may impose a trivial  $A_\infty$  algebra structure on  $W_0$ , the presence of the non-trivial operation  $\eta_{1,0}$  gives rise to an open-closed homotopy algebra structure rather than that of an  $A_\infty$  algebra over an  $L_\infty$  algebra. In string field theory the operation  $\eta_{1,0}$  corresponds to the opening of a closed string into an open string.

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A. RAZMADZE MATHEMATICAL INSTITUTE, M. ALEKSIDZE STR. 1, TBILISI, 0193, GEORGIA  
*E-mail address:* kade@rmi.acnet.ge

DEPARTMENT OF MATHEMATICS, BOX 8205, NORTH CAROLINA STATE UNIVERSITY, RALEIGH, NC  
27695 USA  
*E-mail address:* lada@math.ncsu.edu